



JOONKTOLLEE TEA & INDUSTRIES LTD.

CIN NO. : L01132WB1900PLC000292

May 21, 2022

The Secretary,
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai - 400 001

Dear Sir,

Scrip Code : 538092

Sub: Annual Secretarial Compliance Report for the financial year ended March 31, 2022.

Please find enclosed herewith the Annual Secretarial Compliance Report of the Company for the financial year ended March 31, 2022, as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the same on record.

Thanking You,

Yours faithfully,
For **JOONKTOLLEE TEA & INDUSTRIES LTD.**

Shivaraj Bopprel

Manager (Finance) & Company Secretary
Membership No. ACS 21047

Encl : As above.



Sweetie Kapoor

Practising Company Secretary

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To
Joonktollee Tea & Industries Limited
21, Strand Road,
Kolkata - 700001

REF: Secretarial compliance report of JOONKTOLLEE TEA & INDUSTRIES LIMITED (CIN: L01132WB1900PLC000292) having registered office at 21, Strand Road, Kolkata - 700001 for the year ended 31/03/2022

I, Sweetie Kapoor, Practising Company Secretary, having office at Business Communication Centre, 21, Parsee Church Street, Kolkata- 700001 have examined:

(a) all the documents and records made available to us and explanation provided by M/s. Joonktollee Tea & Industries Limited ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31/03/2022 ("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

Compliance under the specific Regulations, to the extent applicable whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;-

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 – there were no events requiring compliance during the review period)

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 –;

(there were no events requiring compliance during the review period)

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 –; (there were no events requiring compliance during the review period)

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 –; (there were no events requiring compliance during the review period)

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(i) Securities and Exchange Board of India (Depository and Participants) Regulations, 2018;



and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has generally complied with all the material provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sl. No.	Compliance Requirement (Regulations/ Circulars/ Guidelines including Specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
N.A			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sl. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment etc	Observations/ Remarks of the Practicing Company Secretary, if any
No Action taken				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sl. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended.... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	The Company has paid the fine and given its submission to BSE Ltd. requesting the waiver/refund of the same in view of the extraordinary circumstances prevailing last year.	2021	Fine levied by BSE Ltd has been paid. But BSE vide its email dated 5 th July, 2021 has waived the fine levied subsequently.	Acknowledged

Note:

(1) The Designated Stock Exchange, BSE Limited, had not accepted the record date of 22nd December, 2021 for the Rights Issue informed by the Company on record and did not issue their circular for ex-rights date. Hence, in consultation with and approval of BSE Ltd., Depositories NSDL & CDSL, Merchant Bankers and Registrars to the Issue, the Company fixed a new record date.



Sweety Kapoor

Continuation sheet.....

(2) Further in terms of SEBI circular in respect of resignation of statutory auditors from listed entities and their material subsidiaries, the listed entity has suitably modified the terms of appointment of the statutory auditors to give effect to clause 6(A) and 6(b) of Circular No. CIR/CFD/CMDA/114/2019 dated October 18, 2019.

Place: Kolkata

Date: 17/05/2022



Skapoor
SWEETY KAPOOR

Practising Company Secretary

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UDIN: F006410D000333176